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Patent Application Transmittal
(only for new nonprovisional applications under 37 C.F.R. 1.53(b))
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Date: September 7, 2000
Attorney Docket No.: 450110-02767

ASSISTANT COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D.C. 20231

Sir:

With reference to the filing in the United States Patent and Trademark Office
of an application for patent in the name(s) of:

Yiming ZHOU

entitled:

DISTRIBUTED SERVICE PROVIDER

The following are enclosed:

- X Specification (15 pages)
- X 3 Sheet(s) of Drawings
- X 19 Claim(s) (including 2 independent claim(s))
- This application contains a multiple dependent claim

- X Our check for \$ 730.00, calculated on the basis of the claims as
amended by any enclosed preliminary amendment as follows:

Basic Fee, \$690.00 (\$345.00)	\$ 690.00
Number of Claims in excess of 20 at \$18.00 (\$9.00) each:	-0-
Number of Independent Claims in excess of 3 at \$78.00 (\$39.00) each:	-0-
Multiple Dependent Claim Fee at \$260.00 (\$130.00)	-0-
Total Filing Fee	\$ 690.00
<u>X</u> Assignment Recording Fee \$40.00	\$ 40.00

- X Oath or Declaration and Power of Attorney
 - X New X signed unsigned
 - Copy from a prior application (37 C.F.R. 1.63(d))

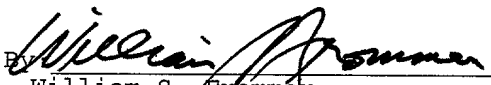
- Certified copy of each of the following application(s) to substantiate
the claim(s) for priority made in the Declaration:

<u>Application No.</u>	<u>Filed</u>	<u>In</u>
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Please charge any additional fees required for the filing of this
application or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicant


By William S. Frommer
Reg. No. 75,506

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Charles Jackson

(Signature of person mailing paper or fee)

PATENT
450110-02767

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
APPLICATION FOR LETTERS PATENT

TITLE: DISTRIBUTED SERVICE PROVIDER
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BACKGROUND OF THE INVENTION

Field of the Invention

5 The invention relates to a computer network comprising a plurality of interconnected stations, more especially to the distribution of tasks between stations of a network in order to improve performance of the stations as viewed as a whole.

Description of the Prior Art

10 In a computer network, each station, node or terminal will have its own tasks to perform. It is also the case that, in use, there will wide fluctuations in usage across the stations. Because of this, various schemes have been developed to increase the performance of a station by utilising spare capacity in other stations of the network that may otherwise lie idle. The present invention relates to one such scheme.

15 SUMMARY OF THE INVENTION

According to a first aspect of the invention there is provided a station for a network apparatus comprising the station and a plurality of other stations, all interconnected by a communication link, the station comprising:

- 20 a network connection;
- a self assessment module operable to determine a current status of the station, wherein the current status is a measure of the stations available resources;
- a trust list that includes a station identifier for the or each other station which is designated as trusted to perform tasks for the station;
- 25 a broadcast unit operable to transmit service requests to the network connection and onto the network, the service requests being directed to the or each other station identified in the trust list and constituting a request to the or each other station to perform a task for the station; and

an answer unit operable to receive service requests from the network through the network connection and, in response thereto, to transmit to the network through the network connection an acceptance or refusal message in respect of the service request, the acceptance or refusal being decided having regard to the current status of the station, as determined by the self assessment module.

According to a second aspect of the invention there is provided a method of distributing tasks in a network comprising a plurality of stations, all interconnected by respective network connections to a communication link, the method comprising:

transmitting a service request by a first station to its network connection and onto the network, the service request being directed to a trusted sub-group of the stations and specifying a task to be performed; and

receiving the service request by a second station, that is one of the trusted sub-group of stations, through its network connection and, in response thereto, transmitting to the network through its network connection an acceptance or refusal message in respect of the service request, the acceptance or refusal being decided having regard to the current status of the second station, as determined by a self assessment of the second station; and

carrying out the task specified in the service request by the second station and returning a service result to the first station.

According to an embodiment of the invention there is provided a distributed artificial intelligence service provider (DAISP) for a station according to the first aspect of the invention. This will be beneficial for both broadcasters and service providers, since many if not most applications should be network based nowadays.

The basic idea of DAISP is to make use of all available computer power in a networked environment and not to affect local users' activities. Distribution should be done whenever and wherever needed in a straightforward, effective, and simple way.

The DAISP is a normal user level application. It does NOT require anything special from an existing operating system. In a Unix situation, it will run as long as the user has a valid account. In the Microsoft NT case, it will run on a normal NT workstation and it does not require special libraries apart from the *Winsock.dll* which is needed for networking under NT.

The DAISP architecture is not a client/server architecture. There is no central server for the service so that there is no single point failure in the system. It is a network where individuals serve others on a trust basis, and themselves if necessary. At some times, the stations work together to produce harmonious performance. Individuals use the network as a stage to play on, to serve others, and to communicate/monitoring. It is possible for a station not to provide any service to others. In this case, it is a customer/listener only station. However, such a station is still part of the architecture.

BRIEF DESCRIPTION OF THE DRAWINGS

The above and other objects, features and advantages of the invention will be apparent from the following detailed description of illustrative embodiments which is to be read in conjunction with the following drawings in which:

Figure 1 shows a network in the form of a distributed system of interconnected stations;

Figure 2 shows a home network system example conforming to the network architecture of Figure 1;

Figure 3 shows internal modules of a station according to Figure 1 or 2;

Figure 4 shows internal structure of one of the modules of Figure 3; and

Figure 5 shows internal structure of another of the modules of Figure 3.

DESCRIPTION OF THE PREFERRED EMBODIMENTS

Figure 1 shows a computer network comprising a plurality of stations 100, 102... etc. sequentially labelled 1, 2, ... n. The stations are networked by a communication link 10 with spurs 11 interconnecting each station to the main network link 10.

Figure 2 is an example of the general network of Figure 1 in the form of a home network comprising a number of disparate stations linked by a home network cable 10. The home network protocols and hardware comply to the standard IEEE

1394. The network is linked to the outside world by a satellite transceiver 8. The network stations shown by way of example are a television 100, a desk-top personal computer 102, a telephone apparatus 104, a set top box 106, a digital closed circuit television (CCTV) camera 108, a hi-fi system 110, a video recorder 112, a lap-top
5 personal computer 114 and a digital video camera 116.

It is envisaged that a typical home network will have connected to it a disparate collection of stations, each having different computing capabilities. For example, it may be expected that the personal computers 102 and 114 will have relatively powerful general processing and memory capabilities, whereas the digital video camera 116 and
10 television 100 may have relatively powerful image processing capabilities.

Moreover, it is envisaged that some of the stations will be transient elements in the system in that they will be plugged in and out as "plug-and-play" devices, i.e. devices that are automatically configurable in the network. For example, the lap-top computer 114, and the digital camera 116 will be connected to the home network only
15 sporadically.

Figure 3 shows internal structure of the station 100. The further stations 2, 3, 4, ...n will have the same internal structure. The internal structure is made up of a number of interconnected components, each of which is described in turn below. The illustrated components of the station are a broadcast/answer module 12, a self
20 assessment module 14, a system security module 16, a task execution, monitoring and reporting module 18, a task scheduler module 20, a service requirement analysis module 22, a service/performance history learning analysis module 24, a task failure management module 26, an assistance service module 28, a plurality of service modules 30, and a redistributable software resource repository 32.

25 The broadcast/answer module 12 is shown in its station environment in Figure 3 and again in Figure 4 which shows further internal structure of the broadcast/answer module 12.

The broadcast/answer module 12 is the module to broadcast service requirements to the network. The requirement can be anything related to the task it is
30 performing. For example, if a station wants to take on a task since it is the most

suitable station to do the job, but found that there was a software module missing in its library, it could then broadcast the requirement for the piece of software.

As shown in Figure 5, the broadcast/answer module 12 has a broadcast unit 48 and an answering unit 46. The broadcast unit 48 is operable to transmit resource requests to the network. The answering unit 46 includes information about the station's self-assessment of its performance if it takes on the task and some basic station-based information such as CPU power, benchmark, free memory, total memory, current load of the machine, etc. Before answering any service requirements, security has to be checked to keep intruders away. Also, it has to check resources inside itself to make sure it can take on the task.

The self assessment module 14 is illustrated in Figure 3 in its station environment, and again in Figure 5 which shows internal structure of the self assessment module 14.

The self assessment module 14 provides two kinds of self assessment or self evaluation, namely self assessment based on static status and self assessment based on dynamic status. The status information is held in respective status units 40 and 42. The status is evaluated by a status evaluation unit 44. The self assessment module 14 is connected to the broadcast/answer module 12 by a link 15. In response to a status request from the broadcast/answer module 12, the station status is evaluated by the status evaluation unit 44 and a result returned by the link 15. The status request may be prompted, for example, by receipt of a request from a trusted remote station for resources.

The static status information is held in a static status unit 40 and includes:

- (a) CPU model, number,
- (b) Total memory,
- (c) Total permanent storage,
- (d) Byte Benchmark (Integer, memory, floating point),
- (e) Operating System ID, version,
- (f) Special hardware devices ID, version.

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If a station is trusted in the DAISP, it will have the right to access whatever it can access under the operating system's discretion. For example, if a DAISP is run by a normal user (compared with privileged user), it will have access to the resources which a normal user can access.

5 In the case of a normal UNIX box, it will have access to the user's own quota
controlled hard disk, user ID priority governed CPU usage, etc.

In a Microsoft NT environment, a normal user will have the right to access all shared hard disks on the network and user ID priority governed CPU usage. Care must be taken in the Microsoft case since a normal user has access to the network wide shared disks.

The task execution, monitoring, reporting module 18 takes on a task and starts execution if necessary. It will broadcast status to the network. The purpose of doing this is that if the station fails in the middle of the execution, others will know about the task and its progress and take over. For example, if station α started a service and put up a message onto the network saying that "I am doing the task, it should finish by 21:10:35 and this information is updated at 21:10:10, and next update will be at 21:10:20", if it fails to update the message at 21:10:21, everybody on the network knows that something unexpected happened to α , then the capable station at the time can take on the task and inform the network about its action. This will guarantee the quality of the service.

The task scheduler module 20 maintains a tasks' and stations' priority scheme which governs the task execution priority in the station. It will monitor all tasks in the station including local tasks, which are the tasks initiated locally and foreign tasks, which are created by remote DAISP users. For example, if a local user starts a task, say Microsoft word, the Task scheduler module has to act quickly to suspend some of the foreign tasks in order to release enough resources, say CPU power, back to the local resources pool. It will guarantee that the local user will not be affected by any foreign tasks running in the machine. That will encourage users to participate the DAISP scheme.

30 The service requirement analysis module 22 does extra work after finishing a service and provides information about performance and possible improvement. It

maintains the redistributable software resource repository 32 inside of the station. For example, if a software module was not used for a long time, it can ask others to have it. If nobody wants it, it can put it into a software dump place. If it finds out there exists a new version of a software, it can update the software collection of the station by grabbing it through internet and let other station know it.

The service/performance history learning analysis module 24 is concerned with the history of the station. Its main task is to optimise the station so that it can service the network better. It will try to find bottlenecks for different tasks and will bring these to the attention of the system administrators if it can not solve it itself.

The task failure management module 26 deals with both failure of itself and other stations in the network. If it fails to do something, it will put a requirement up to the network for solution. If it found somebody else's failure such as mentioned in the "Task execution, monitoring, reporting module" section, it will see whether it can take on the task. If it can, it will broadcast the response and wait a while for answers. If nobody answers before timeout, it will start to continue the services.

The assistance service module 28 works as a bridge to other modules, for example, as a intermediate delivery station for a long distance material transfer. Or, it can be treated as sub-service to other service stations.

The service modules 30 are the modules that do the actual service jobs. they can be any services such as AI service for user habit catching, analysis and predicting, video streaming services, streaming convergence services, etc.. Certain service modules can be inside of "Redistributable software resource repository". They could be relocated to somewhere else in order to serve customers better.

A Distributed AI Service Provider (DAISP) based on the above-described distributed system architecture and a Linux operating system has been designed and implemented. It can be put on to one bootable floppy disk for machines which have sufficient memory to operate it. It can do distributed AI servicing without waste of hardware resources. Learning and predicting requirements from clients can be dealt with seamlessly, i.e. the DAISP provides a Plug-and-Play type of service. Testing has been done by using multiple PCs, such as dual Pentium II 400 with 256Mb RAM. The

whole system functioned as expected and execution time for learning and predicting was nearly linearly reduced as more DAISPs were put into service.

The DAISP provides a good solution for many networked applications, for example as a host to an AI engine. The distributed system architecture can provide a
5 more robust and reliable service in many areas.

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SPNetBandwidthACK,      /*DSP ack. the block has received and everything
                           the block.
```

*

SRSendProg, /*Sending a program to a SP.
ulBlkLen = Length of the program
ucServiceID = Length of the filename
Program ID will be used as filename in the SP.
5 Care has to be taken that the SP saves the prog
as
/AllowedDIRbySP/ClientIP/ProgramName
where /AllowedDIRbySP MUST exist.
After the SP got and saved the program, the full
10 pathname of the program will be sent back for
the SR to run it later.
*/
DataBlk, /*sends any data block. SR<->SP
ulBlkLen = Length of the block
15 */
SRExecProg, /*Send command to SP to run a program delivered
before.
ulBlkLen is the length of ExecCmdStruct (in
struct.h).
20 SP has to get the ExecCmdStruct block and
follow the instruction given there to run
the program.
*/
SPExecProgACK, /*Send ACK to SR,
25 The program has been executed, or not and reason.
ulBlkLen = Prog's pid
*/
SRStaticStatusReq, /*Asking for the SP's static status.
SP has to create the structure and fill the
30 information required and using
SPStaticStatusAck to send the info back.

00000000000000000000000000000000

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        */
SPDiskParameters, /*Send disk info. to SR.
        ulBlkLen is the length of DiskInfo
        (in struct.h) * the number of disks.
5        */
SPSepcialHWInfo, /*Send special hardware information to SR.
        ulBlkLen is the length of SpecialHWInfo
        (in struct.h) * the number of SpecialHWInfo.
        */
10
SPStaticStatusAck, /*Ack of the SRStaticStatusReq.
        ulBlkLen is the length of StaticStatusStruct
        (in struct.h).
        The structure will be sent after the protocol.
15        */
SRDynamicStatusReq, /* Asking for the SP's static status.
        SP has to create the structure and fill the
        information required and using
        SPDynamicStatusAck to send the info back.
20        */
SPProcessInfo, /*Send the process info. if ucServiceID is set
        when receive the SRDynamicStatusReq.
        ulBlkLen is the length of ProcessInfo
        (in struct.h).
25        The structure will be sent after the protocol.
        */
SPDynamicStatusAck, /*Ack of the SRDynamicStatusReq.
        ulBlkLen is the length of DynamicStatusStruct
        (in struct.h).
30        The structure will be sent after the protocol.
        */
```

```

SPIntermediateResult,      /*SP sends out intermediate result produced by
                             executing a program back to the SR.
                             ulBlkLen is the length of the result block.
                             Result block has to be defined and understood
5                             by the SR and the program.
                             */

SPIntermediateStatus,      /*SP sends out intermediate result produced by
                             executing a program back to the SR.
                             ulBlkLen is the length of the status block.
10                             Status block has to be defined and understood
                             by the SR and the program.
                             */

SPExecFinished, /*SP sends out after an execution of a program
                 finishes.
                 ulBlkLen is the length of the result data block
15                 */

SRSignalForExec, /*SR sends a signal to the program executed
                 in the SP. The signal has to be delivered to the
                 program by kill(PID, signal).
20                 ucServiceID = the program ID.
                 ulBlkLen = the signal.
                 */

SPSignalFromExec, /*SP passes the signal produced by the program
                  currently being executed to the SR.
25                  ucServiceID = the signal.
                  */

SRKillProg,    /*SR send the protocol to kill a program.
                ulBlkLen = the length of the program's name to be killed.
                a datablock of the program's name will follow.
30                */

SROSIDQuery,   /*SR asking SP's OSID.
```


ucServiceID = SR's OSID.

SP MUST send SPOSIDAck with ucServiceID as its OSID.

This one is first sent by a SR to a SP after SP accepts
its call.

5 */

SPOSIDAck, /*SP Ack. SR's OSID query.

ucServiceID = SR's OSID.

This one is first sent by a SP to a SR after SP got
SROSIDQuery.

10 */

SRRemoveProg, /*SR wants to remove the old program in a SP.

ulBlkLen = the length of the following Program Path.

The program pathname will be sent using SendData.

15 SP MUST get the program pathname using GetData then
get rid of the program.

*/

SPAskingAliveSR, /*it is sent in the SP's monitoring session to see whether
the concerned SR is still alive. (CtrlSkt)

ulBlkLen = the pid of a program's issuer.

20 */

SRackAlive, /*Sent by the SR to ack. the SPAskingAliveSR,

if ucService != 0, the issuer is still alive.

*/

SPExecSktReady, /*Sent by SP to inform SR that the socket for prog. exec.

25 is ready. The SR can go ahead to connect to the data
socket. ulBlkLen = the pid of the task.

*/

SPExecFinish, /*Sent by SP to inform SR that a task has been finished.

ulBlkLen = the pid of the task.

30 */

SPAlive /*Just inform the connected SR, SP is still alive. */}

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Although illustrative embodiments of the invention have been described in detail herein with reference to the accompanying drawings, it is to be understood that the invention is not limited to those precise embodiments, and that various changes and modifications can be effected therein by one skilled in the art without departing from
5 the scope and spirit of the invention as defined by the appended claims.

Embodiments of the invention described above are implemented, at least in part, using software-controlled data processing apparatus, so it will be appreciated that a computer program providing such software control and a transmission or storage medium by which such a computer program is stored are envisaged as aspects of the
10 present invention.

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1. A station for a network apparatus comprising said station and a plurality of other stations, all interconnected by a communication link, said station comprising:

a self assessment module operable to determine a current status of said station, wherein said current status is a measure of said stations available resources;

10 a broadcast unit operable to transmit service requests to said network connection and onto said network, said service requests being directed to said or each other station identified in said trust list and constituting a request to said or each other station to perform a task for said station; and

20 2. A station according to claim 1, wherein said self assessment module is operable to determine a static status for said station based on hardware resources of said station and a dynamic status for said station based on current usage of said hardware resources.

4. A station according to claim 1, further comprising a task execution, monitoring
30 and reporting module operable to broadcast to said network progress updates on tasks
accepted by and being performed in said station on behalf of an other station.

5. A station according to claim 1, further comprising a task scheduler module arranged to monitor all tasks being performed in said station, including tasks initiated by said station for said station and tasks being performed in response to receipt of a service request from one of said other stations.

6. A station according to claim 1, further comprising a service requirement analysis module and a software resource repository in which a plurality of software modules are stored, said service requirement analysis module being operable to maintain said software resource repository by importing and exporting software modules to and from other stations having regard to demand in said station for such software modules.

7. A station according to claim 6, wherein said station is further operable to broadcast messages to said network offering software modules held in said software resource repository to said or each other trusted station.

8. A station according to claim 1, further comprising a service/performance history learning analysis module operable to apply artificial intelligence to find task bottlenecks in said station and said other stations, and to bring these to the attention of a network administrators if it can not solve them itself.

9. A station according to claim 1, further comprising a task failure management module, operable to transmit to said network a failure message in response to failure of said station successfully to complete a task being performed for one of said other stations.

10. A station according to claim 9, wherein said task failure management module is further operable to monitor for failure messages transmitted by one of its trusted stations and, in response thereto, to handle said failure message as a service request message for said failed task.

5 12. A network according to claim 11, wherein there is no central server for said network.

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transmitting a service request by a first station to its network connection and
15 onto said network, said service request being directed to a trusted sub-group of said
stations and specifying a task to be performed; and

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16. Computer software comprising program code means for carrying out a method according to claim 14.

17. A carrier medium carrying computer software according to claim 16.

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18. A medium according to claim 17, the medium being a storage medium.

19. A medium according to claim 17, the medium being a transmission medium.

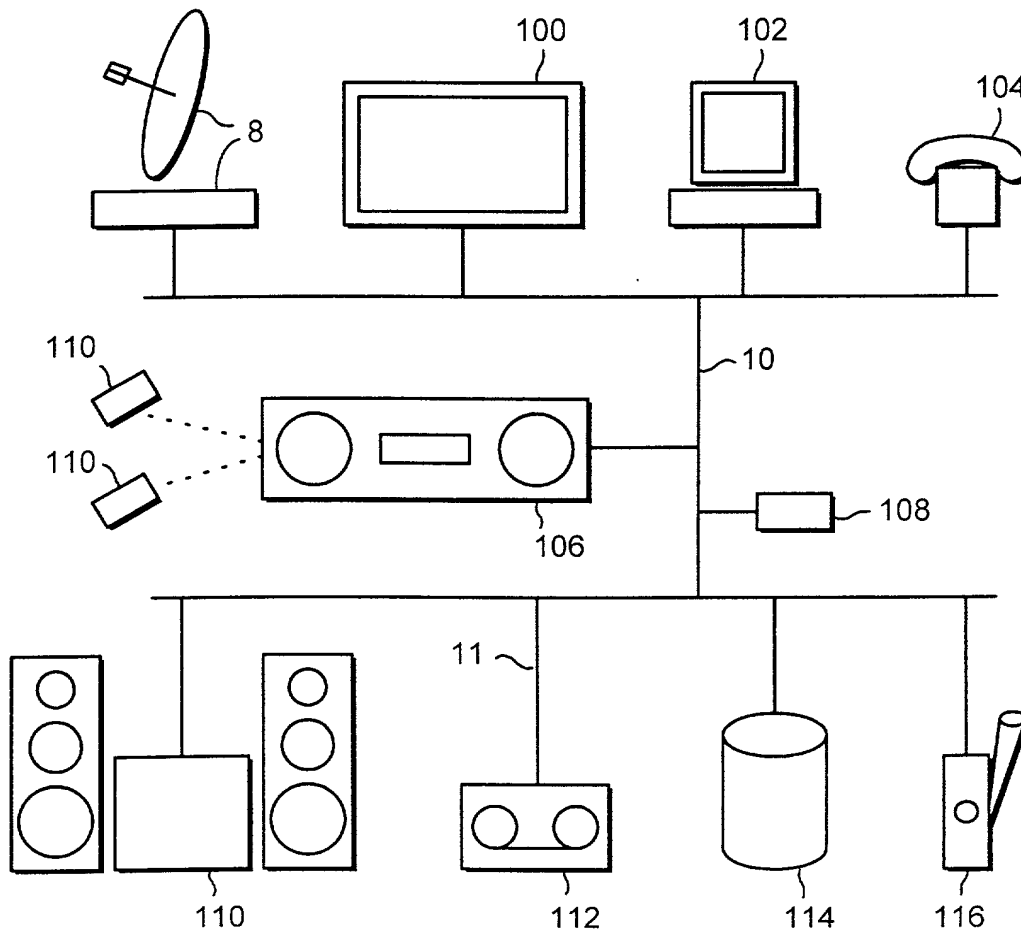
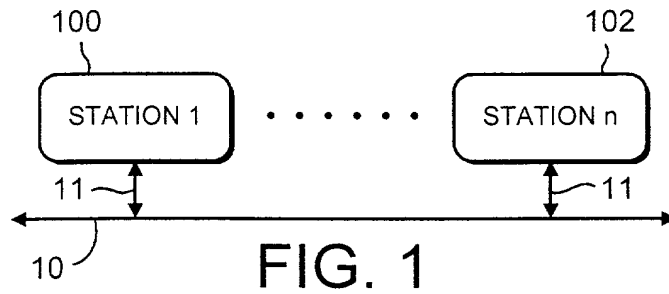
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ABSTRACT OF THE DISCLOSURE

A station for a network apparatus comprising the station and a plurality of other stations, all interconnected by a communication link. The station comprises: a network
5 connection; a self assessment module operable to determine a current status of the station, wherein the current status is a measure of the stations available resources; a trust list that includes a station identifier for the or each other station which is designated as trusted to perform tasks for the station; a broadcast unit operable to transmit service requests to the network connection and onto the network, the service
10 requests being directed to the or each other station identified in the trust list and constituting a request to the or each other station to perform a task for the station; and an answer unit operable to receive service requests from the network through the network connection and, in response thereto, to transmit to the network through the network connection an acceptance or refusal message in respect of the service request,
15 the acceptance or refusal being decided having regard to the current status of the station, as determined by the self assessment module.

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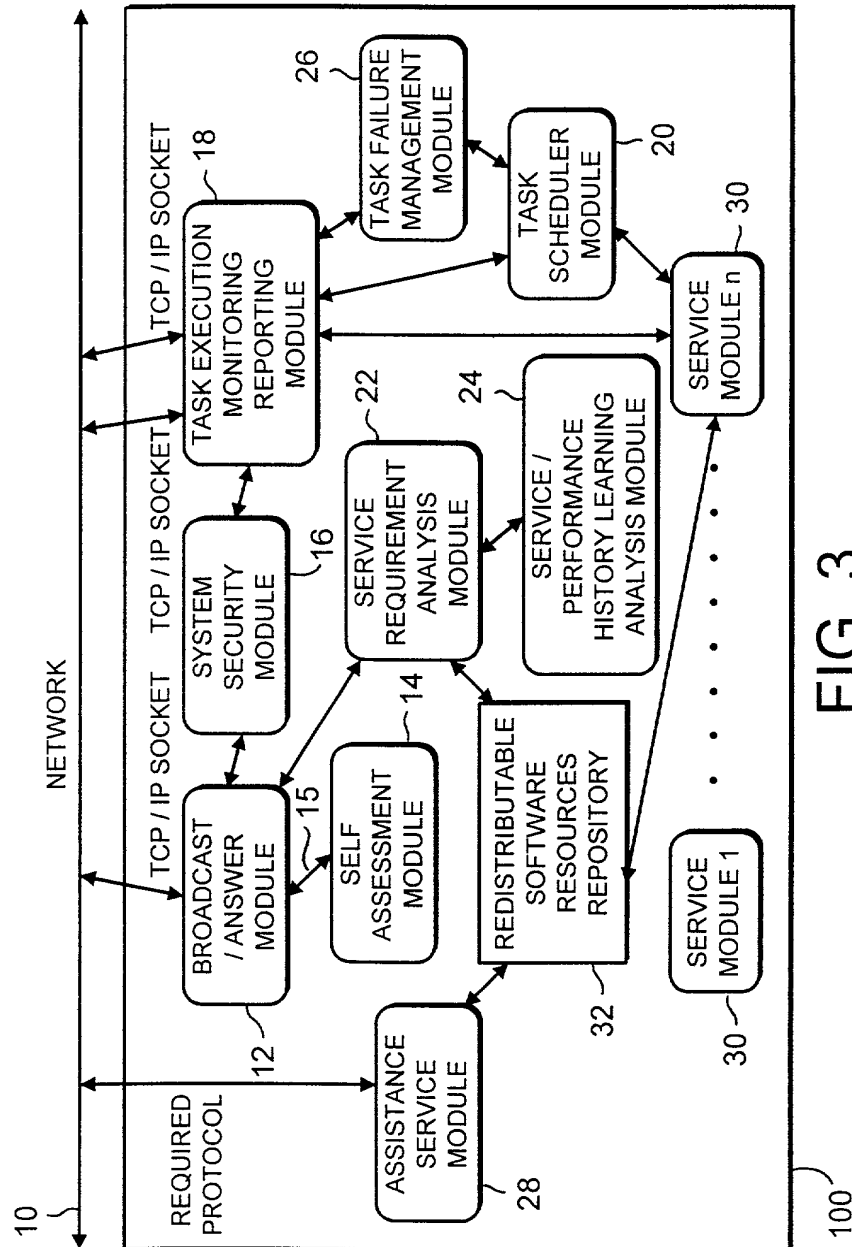


FIG. 3

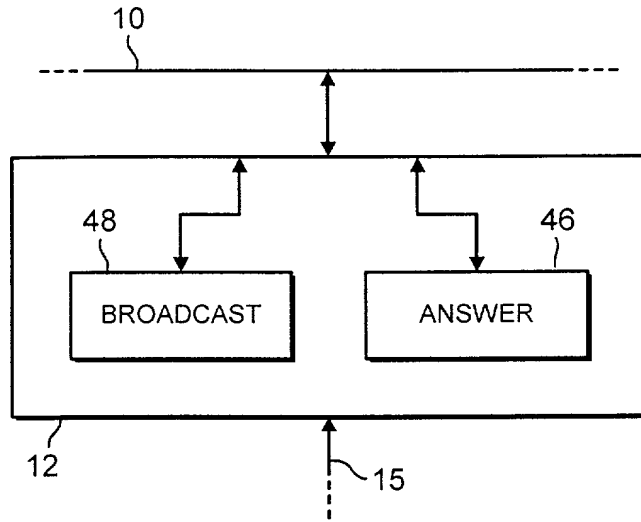


FIG. 4

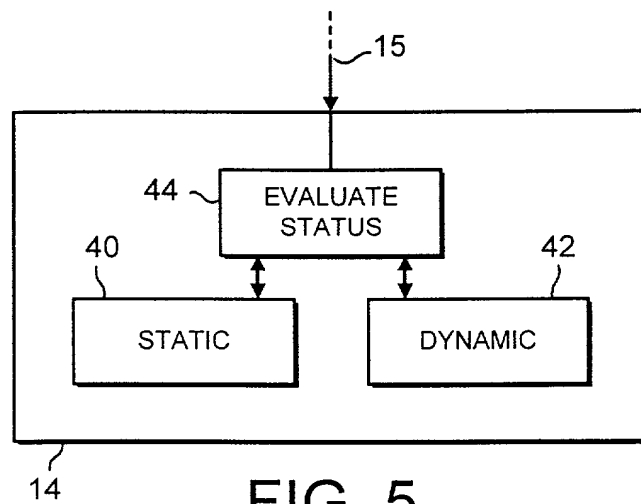


FIG. 5

DECLARATION OF PATENT APPLICATION (JOINT OR SOLE)

(Under 37 CFR § 1.63; with Power of Attorney)

FROMMER LAWRENCE & HAUG LLP

FLH File No. 450110-02767

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention ENTITLED:

DISTRIBUTED SERVICE PROVIDER

the specification of which

X is attached hereto.

_____ was filed on _____ as Application Serial No. _____,

with amendment(s) through _____ (if applicable, give dates).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s) [list additional applications on separate page]: Priority Claimed:

Number:
9921233.4

Country:
U.K.

Filed (Day/Month/Year):
8 September 1999

Yes No
X

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U.S. Application(s) [list additional applications on separate page]:

Appln. Ser. Number: Filed (Day/Month/Year): Status (patented, pending, abandoned):

I hereby appoint WILLIAM S. FROMMER, Registration No. 25,506, and DENNIS M. SMID, Registration No. 34,930 or their duly appointed associate, my attorneys, with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to file continuation and divisional applications thereof, to receive the Patent, and to transact all business in the Patent and Trademark Office and in the Courts in connection therewith, and specify that all communications about the application are to be directed to the following correspondence address:

WILLIAM S. FROMMER, Esq.
c/o FROMMER LAWRENCE & HAUG LLP
745 Fifth Avenue
New York, New York 10151

Direct all telephone calls to:
(212) 588-0800
to the attention of:
WILLIAM S. FROMMER

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

INVENTOR(S): Yiming ZHOU Date: 8/31/2000

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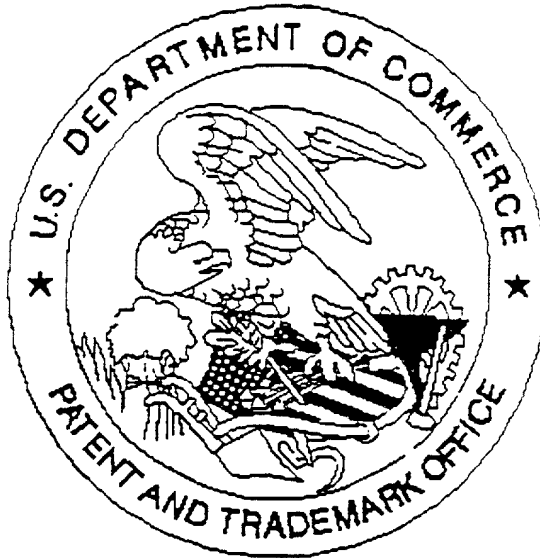
[Similarly list additional inventors on separate page]
Post Office Address(es) of inventor(s):
[if all inventors have the same post office address]

~~Same as above~~

Note: In order to qualify for reduced fees available to Small Entities, each inventor and any other individual or entity having rights to the invention must also sign an appropriate separate "Verified Statement (Declaration) Claiming [or Supporting a Claim by Another for] Small Entity Status" form [e.g. for Independent Inventor, Small Business Concern, Nonprofit Organization, individual Non-Inventor].

Note: A post office address must be provided for each inventor.

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